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Shortening and prolongation of saccade latencies following microsaccades

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Abstract When the eyes fixate at a point in a visual scene, small saccades rapidly shift the image on the retina. The effect of these microsaccades on the latency of subsequent large-scale saccades may be twofold. First, microsaccades are associated with an enhancement of visual perception. Their occurrence during saccade target perception could, thus, decrease saccade latencies. Second, microsaccades are likely to indicate activity in fixation-related oculomotor neurons. These represent competitors to saccade-related cells in the interplay of gaze holding and shifting. Consequently, an increase in saccade latencies would be expected after microsaccades. Here, we present evidence for both aspects of microsaccadic impact on saccade latency. In a delayed response task, participants made saccades to visible or memorized targets. First, microsaccade occurrence up to 50 ms before target disappearance correlated with 18 ms (or 8%) faster saccades to memorized targets. Second, if microsaccades occurred shortly (i.e., < 150 ms) before a saccade was required, mean saccadic reaction time in visual and memory trials was increased by about 40 ms (or 16%). Hence, microsaccades can have opposite consequences for saccade latencies, pointing at a differential role of these fixational eye movements in the preparation of saccade motor programs.

Keywords Fixational eye movements · Memory-guided saccades · Visually guided saccades

Introduction

We scan visual scenes with sequences of saccades (moving gaze) and fixations (holding gaze). During fixations, the eyes slowly drift, and once or twice per second, rapid microsaccades shift the retinal image with amplitudes of less than 1°. Here, we test two opposing predictions of how the presence of a microsaccade during a fixation might influence the latency of a subsequent normal saccade.

On the one hand, microsaccades modulate spiking activity in the visual cortex (Bair and O’Keefe 1998; Leopold and Logothetis 1998; Martinez-Conde et al. 2000, 2002; Snodderly et al. 2001; Martinez-Conde et al. 2004, for an overview). Consequently, these fixational eye movements are thought to counteract neuronal adaptation and thus increase visibility (Ditchburn 1980; Gerrits and Vendrik 1974; Martinez-Conde et al. 2000, 2004). Such perceptual enhancement should facilitate the detection of a visual target and, consequently, shorten the latency of a saccadic response toward it.

On the other hand, the physiological mechanisms of microsaccade and saccade generation might interfere. Microsaccades’ binocularity (Ditchburn and Ginsborg 1953; Krauskopf et al. 1960; Lord 1951), involuntary occurrence (Ditchburn and Ginsborg 1953; Ratliff and Riggs 1950), and shared kinematic characteristics with large-scale saccades (Zuber et al. 1965) hint at common subcortical mechanisms for the generation of both types of movements (see also Discussion). A likely neural correlate of microsaccade generation is the rostral pole of the superior colliculus (SC), a brainstem structure critically involved in the control of saccades and fixations (see Munoz et al. 2000; Scudder et al. 2002; Sparks 2002, for reviews). The rationale is that saccade amplitudes are coded along the SC rostral–caudal dimension; small amplitudes like those associated with microsaccades being related to activity in the rostral pole (Robinson 1972). Neurons in the rostral pole, however, are associated with active fixation (Munoz and Guitton

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1991; Munoz and Wurtz 1993a, b). Consequently, SC activation that causes a microsaccade also generates a longer latency for a subsequent saccade because neurons coding the next saccade target must overcome the activity of these fixation-related neurons in the SC before a saccade may be executed (Munoz and Istvan 1998; Munoz and Wurtz 1993b, 1995b). Thus, if microsaccades result from activity in fixation-related neurons in the rostral pole of the SC, they should delay the latency of subsequent saccades.

To our knowledge, neither the proposal that microsaccades originate from fixation-related neurons nor the predictions for the relationship between microsaccades and subsequent saccade latencies have been tested. Here, we show in a single delayed-saccade task that microsaccades are indeed associated with opposite effects in saccadic response latencies. The direction of this effect depends on two factors: (1) when a microsaccade occurs—during the perception of the target or during the preparation of a saccade—and (2) whether a visually guided or memory-guided saccade is required.

In addition, the present study relates to a recent paper by Supèr et al. (2004). These authors showed that neural activity in V1 increased significantly from 100 ms prior to visually guided and memory-guided saccades. Pre-saccadic visual activity was strongest at saccade target locations. Moreover, Supèr et al. (2004) reported a strong correlation between the strength of pre-saccadic activity in V1 and latencies of saccades to memorized targets. Based on our earlier research (Engbert and Kliegl 2003; Laubrock et al. 2005; Rolfs et al. 2004, 2005), we were interested in how microsaccade statistics relate to Supèr et al.'s results and modeled our delayed-saccade task closely on theirs to facilitate comparison.

Materials and methods

Participants

Thirty-one students of the University of Potsdam were paid €7 or received study credit for their participation. They were 19–40 years old ($M = 24.3$ years), had normal or corrected-to-normal vision and were in good health. This experiment was performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and the participants gave their informed consent prior to their inclusion in the study.

Experimental setup and eye-movement recording

The participants were seated in a silent and darkened room with the head positioned on a chin rest, 50 cm in front of a computer screen. Stimuli were presented on a 19-in. EYE-Q 650 CRT (1,024×768 resolution or 40×30° of visual angle; refresh rate 100 Hz). The experiment was controlled by an Apple Power Macintosh G4 computer. Eye-position data were recorded and available online

using an EyeLink-II system (SR Research, Osgoode, ON, Canada) with a sampling rate of 500 Hz and an instrument spatial resolution of less than 0.005°. The experimental software controlling stimulus display and response collection was implemented in Matlab (MathWorks, Natick, MA, USA) using the Psychophysics (Brainard 1997; Pelli 1997) and EyeLink (Cornelissen et al. 2002) toolboxes.

Procedure

The participants fixated a point at the center of a computer screen. After 1,500 ms of fixation, a square target appeared at one of three possible positions in the periphery (top: 90°, bottom-left: 210°, or bottom-right: 330°). The participants maintained fixation for an additional 1,000 ms until a go signal (fixation point offset) commanded a saccadic response to the target. Response saccades (eye position shift to either of the three target square regions) were detected online. If either a response saccade was detected or a response interval of 500 ms was exceeded, the next trial was started after an inter-trial interval of 500 ms. The sequence of visual stimulation was varied according to three different experimental conditions (see Fig. 1a): (1) The target remained on the screen during the whole fixation period (visual-static condition), (2) it was replaced by a different figure of the same size 280 ms after target onset (visual-change condition), or (3) it disappeared after 280 ms of presentation (memory condition). Trials were presented in a randomized order, with 102 trials per condition. In addition to stimulus condition (visual-static, visual-change, and memory), the factorial design included target position (top, bottom-left, or bottom-right) and target orientation (45 or 135°).

If the gaze position left a fixation square (2° side length, centered on the fixation spot) during the 2,500 ms fixation period, the trial was aborted. The aborted trials were repeated in random order after the 306 regular trials. Before the first and after every 50 trials, the eye tracker was calibrated (standard nine-point grid) and the calibration was validated. To start a trial, the participants had to fixate a red spot at the center of a random-noise screen (each pixel was set to black or white). Correct fixation was checked, and the stimulus screen appeared if the gaze position was detected in the fixation region. Otherwise, a drift correction was carried out and the trial was started over. If the eyes were still not detected within the critical area, the calibration was repeated.

Visual stimuli

The background of the stimulus screen consisted of a texture of randomly distributed white-on-black line segments of a single orientation. In each trial, a square target (side length 3°) was presented at one of three

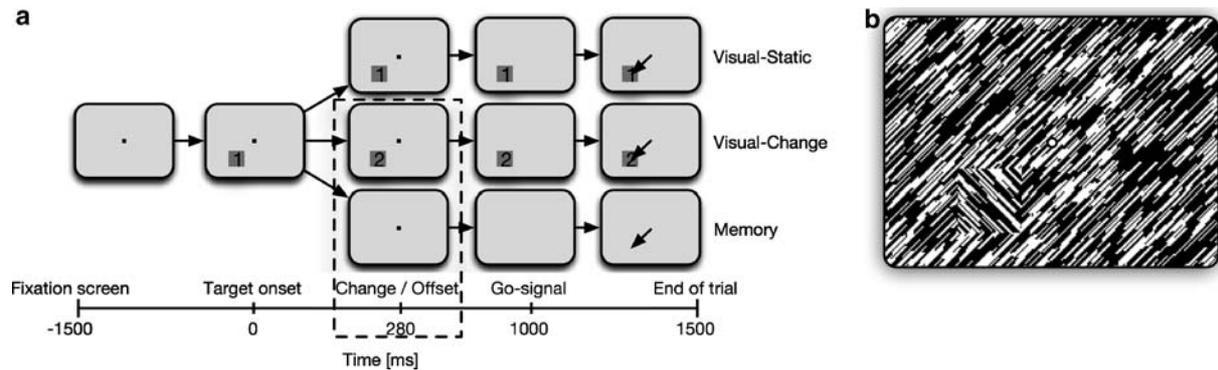


Fig. 1 Illustration of the experimental procedure. **a** Sequences of visual stimulation in the three conditions. **b** Example of a target stimulus display

possible locations (top, bottom-left, or bottom-right with 4.4° eccentricity of the square's center from the central red fixation spot of 0.2° diameter). The target squares appeared abruptly and consisted of a random texture of line segments with an orientation orthogonal to the background pattern (see Fig. 1b for an example screen). In two of three conditions, this texture was replaced after 280 ms by either a background-homogeneous texture (memory trials) or another figure texture (visual-change trials). Line segments were 16×1 pixels ($0.62 \times 0.039^\circ$) and had an orientation of 135 or 45° . Both orientations were used for both the figure and the background, resulting in complementary stimulus pairs. On an average, 40% of the screen was covered by lines.

Data preparation

For data analysis, we performed a post-hoc saccade detection based on the algorithm proposed by Engbert and Kliegl (2003). The velocities were computed from subsequent samples in the series of eye positions in the response time window 500 ms on from the go signal. Saccades were detected in 2D velocity space using thresholds for peak velocity and minimum duration. We used a relative threshold of six SDs of the velocity and a minimal duration of 8 ms (or four data samples). The first saccade that shifted gaze across one of the three target areas was taken as a response saccade. Saccadic reaction time (SRT) was defined as the latency between the go signal and saccade onset.

Subsequently, we used the same algorithm to detect microsaccades (amplitude $< 1^\circ$) in the interval from fixation onset to the response saccade. We considered only binocular microsaccades, that is, microsaccades detected in both eyes with temporal overlap.

The trials including saccades larger than 1° of visual angle prior to the response saccade were discarded, as were the trials with incorrect responses and SRTs shorter than 70 ms. Some trials had to be excluded due to data loss during eye-movement recording. Participants contributed 234–304 trials to the final data analyses, resulting in a total of 8,615 trials (out of 9,486 or 90.8%; 2,861 visual-static, 2,886 visual-change, and

2,868 memory trials) in which 22,529 microsaccades were detected.

Results

Saccadic reaction time

Mean SRTs were 234 ms for visual-static, 236 ms for visual-change, and 238 ms for memory trials. Differences across conditions were not statistically significant ($F(2, 29) = 0.830$, $P = 0.49$, ANOVA).

Microsaccade rate

From former studies we know that the probability of microsaccades evolves in a characteristic signature after stimulus presentation, consisting of an inhibition epoch followed by a temporary enhancement (Engbert and Kliegl 2003; Galfano et al. 2004; Laubrock et al. 2005; Rolfs et al. 2004, 2005). Since microsaccade rate is of fundamental importance for the study of microsaccadic influences on SRTs, we first examined this evolution. Figure 2a shows microsaccade rates across the time course of trials aligned to target onset. Rate evolutions represent averages over participants. The individual rates were computed with a moving time window of 50 ms centered at time point t . When the target remained unchanged on the screen over the entire trial (visual-static), the microsaccade rate declined from a relatively stable baseline level of 1.0 s^{-1} toward a minimum of 0.2 s^{-1} (inhibition) at about 120 ms. Subsequently, an enhancement of microsaccade rate led to a maximum of 2.0 s^{-1} about 280 ms after target onset. Finally, the rate resettled at a level of 0.7 s^{-1} (at 380 ms), before slightly decreasing, and reaching a level of 0.4 s^{-1} at the time of the go signal. This result replicates the signature of previous research within a new experimental paradigm. During the first 280 ms, visual stimulation was identical, and hence, the rate pattern was not different between the experimental conditions. However, when a change took place, a second inhibition phase superseded the enhancement phase, leading to a

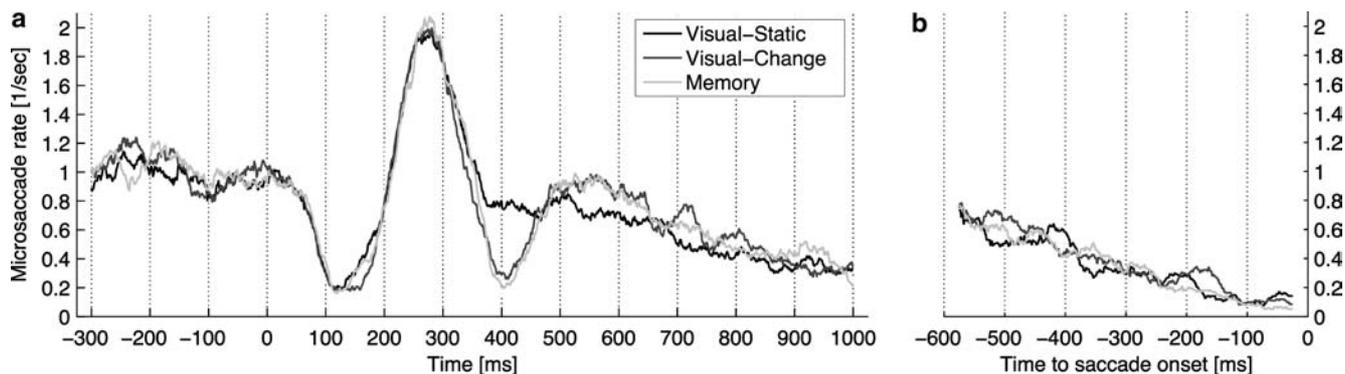


Fig. 2 Average microsaccade-rate evolution over time aligned on target onset (**a**) and saccade onset (**b**). **a** Visual-change and memory conditions differ from the visual-static condition in showing second inhibition and enhancement epochs. **b** Rate decreases to near zero prior to response saccades

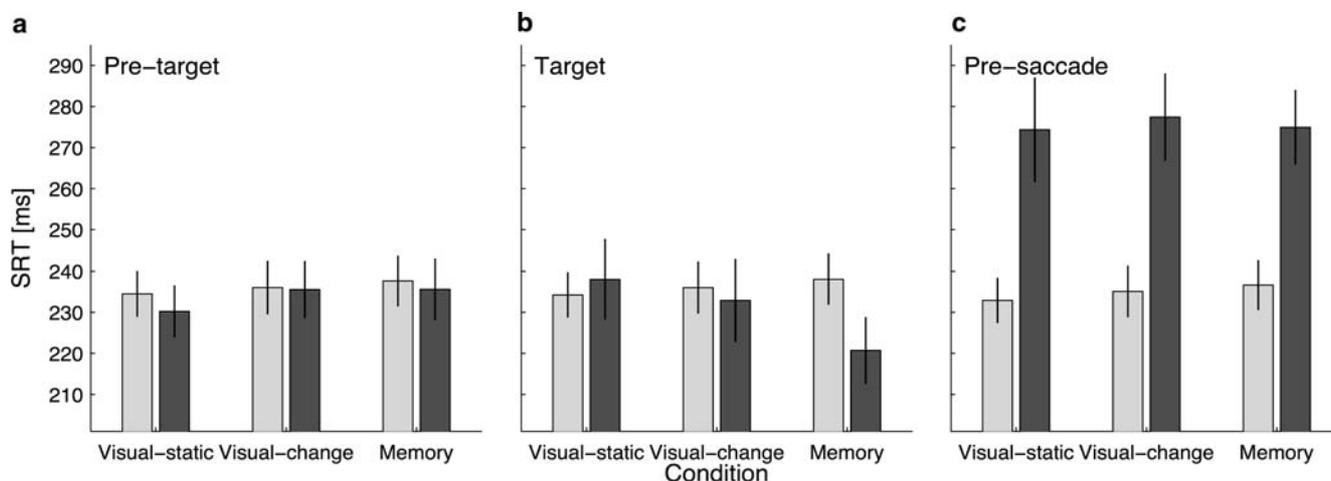


Fig. 3 SRTs in visual-static, visual-change, and memory trials conditional on microsaccade occurrence (*light bars* no microsaccade, *dark bars* microsaccade present) plotted for three different time windows: pre-target (−100 to 0 ms), target (130–230 ms), and

pre-saccade (900–1,000 ms). *Error bars* are SEM. When a microsaccade was detected in the target time window, a decrease in SRTs was found only in the memory condition. An increase was found in all conditions after microsaccades in the pre-saccade time window

second minimum of 0.2 s^{-1} at about 400 ms. After an additional small enhancement epoch forming a peak of 1.0 s^{-1} at 550 ms, the rates join the slight decrease that was found in the visual-static condition. The second inhibition-enhancement epoch represents a new result in agreement with expectations. Note, however, that there was no difference between memory and visual-change conditions in the time course of microsaccade rate, which was thus unaffected by a figure versus ground interpretation of the change.

To study the decrease in microsaccade rate prior to the response saccade, we computed microsaccade onsets relative to saccade onsets. As can be seen in Fig. 2b, the rate drops to a value around 0.1 s^{-1} just before the saccade.

Microsaccades and saccadic response latencies

To examine the relation between microsaccades and SRTs, we focus on the difference in SRTs when the microsaccades were either present or absent in one of

three time windows: First, a *pre-target* time window (−100 to 0 ms) serves as a baseline. Second, a *target* time window was chosen to test whether microsaccades decreased saccade latencies during target presentation. By setting this time window to 130–230 ms, we ensured a 50-ms interval between detected microsaccades and target removal in memory trials, allowing for good visual perception of the target after the microsaccade. Third, a *pre-saccade* time window was set to 900–1,000 ms, that is, just before the go signal. Microsaccades were present (i.e., movement offset within a given interval) in a total of 253 visual-static, 249 visual-change, and 257 memory trials during the pre-target time window; in 126 visual-static, 114 visual-change, and 115 memory trials in the target time window; and in 93 visual-static, 93 visual-change, and 110 memory trials in the pre-saccade time window. Mean SRTs are presented in Fig. 3. For each time window,¹ we conducted a 3×2

¹Separate ANOVAs were performed because of the differences in the number of missing cells.

repeated-measures ANOVA, including the factors condition and microsaccade presence. In the pre-target time window, no significant differences were found; all $F < 0.9$. In the target time window, again no significant differences were found in the overall tests; all $F < 2.5$ and $P > 0.1$. However, planned orthogonal contrasts revealed an interaction of microsaccade presence with the contrast comparing memory with the average of the visual conditions ($F(1, 23) = 5.6$; $MSE = 810.0$; $P < 0.05$), whereas no interaction was obtained for the contrast comparing SRT in the two visual conditions ($F(1, 23) = 0.002$; $MSE = 1428.7$; $P = 0.963$). For the memory condition, a post-hoc t -test for paired samples confirmed that the SRTs were shorter if a microsaccade was detected in the target time window (240 vs 222 ms; $t(23) = 3.2$, $P < 0.005$). In the pre-saccade time window, there was one main effect of the presence of microsaccade ($F(1, 16) = 27.5$; $MSE = 1345.4$; $P < 0.0001$), that is, if microsaccades occurred, the SRTs were longer than when no microsaccade was observed (277 vs 238 ms). There was no interaction of pre-saccadic microsaccade presence with condition ($F(2, 15) = 0.5$; $MSE = 680.8$; $P = 0.634$).

Next, we determined the extension of these effects by moving the chosen time windows in steps of 10 ms forward and backward in time, respectively. For the resulting time windows, we first computed the individual mean SRTs given that either a microsaccade occurred or no microsaccade occurred, and subtracted the latter

value from the first. Mean benefits (negative values) and costs (positive values) in saccade latencies (Δ SRT) embedded in a 95% confidence interval are displayed in Fig. 4. Based on this analysis, a significant speed-up effect in the memory condition was found continuously in the time windows from 90–190 ms to 130–230 ms. Thus, an SRT benefit was observed only if the target was still visible for at least 50 ms after the microsaccade. The pre-saccadic slowing effect extended back continuously to time windows 790–890 ms (visual-static), 740–840 ms (visual-change), and 840–940 ms (memory), respectively, after target presentation. This means that SRTs were increased more than 300 ms after microsaccades, since the minimal possible latency of response saccades was set to 70 ms in this study. It should be noted that there were also some significant SRT costs after microsaccades at earlier times (see Fig. 4).

Discussion

The present study shows for the first time the relationship between microsaccades and latencies of subsequent saccades. First, saccade latencies to memorized target locations were shorter when a microsaccade occurred while the target was transiently presented. This was true despite a delay of roughly 1 s between target removal and saccade. Second, microsaccades were associated with a pronounced slowing of SRTs if they occurred up to 300 ms before a saccade had to be executed. Moreover, we obtained the characteristic signature of microsaccade-rate evolution in response to display changes in a new experimental paradigm. This is of relevance because, in a recent paper, Supèr et al. (2004) studied pre-saccadic activity in the primary visual cortex of monkeys trained on a delayed-saccade task with this paradigm. They showed that V1 activity relating to saccade-target locations increased strongly and continuously from 100 ms prior to saccade execution. The authors carefully checked for the presence of a higher rate of microsaccades in this time window to rule out fixational movements as a cause of this V1 effect and found no modulation of microsaccade rate. Based on our earlier research (Engbert and Kliegl 2003; Rolfs et al. 2004, 2005; Laubrock et al. 2005), we expected a substantial microsaccade-rate modulation to show up in a time window different from the ones analyzed by Supèr et al. (2004). Obviously, our results yielded the expected modulation and we think that the same microsaccade-rate evolution could also be found in Supèr et al.'s data.²

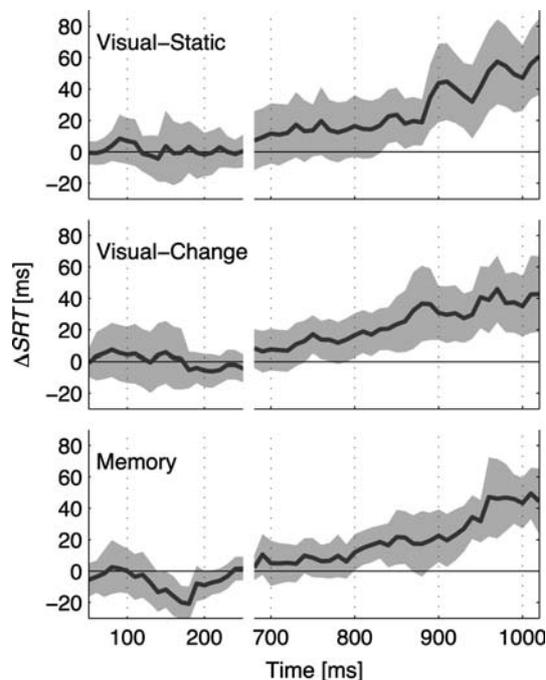


Fig. 4 Temporal extension of benefits and costs in saccade latencies after microsaccades. Mean modulations Δ SRT in the visual-static, visual-change, and memory condition are presented as a function of time. Shaded areas represent 95% confidence intervals. Values on the abscissa represent centers of time windows with a width of ± 50 ms used for microsaccade (offset) detection

²Criteria for trial rejection applied here partly differed from those used by Supèr et al. (2004). A post-hoc rejection of trials were fixation left an area of $1 \times 1^\circ$ (as it was done on-line by Supèr et al.) did not significantly change any of the results reported here.

Shortening of saccade latencies following microsaccades

We provide three speculative explanations for the SRT shortening effect after microsaccades during target presentation in memory trials:

1. *Perceptual-enhancement account.* Single-cell recordings in monkeys have shown that microsaccades raise visual responsiveness of neurons in a multitude of brain areas involved in processing of visual information, including the lateral geniculate nucleus (Martinez-Conde et al. 2000, 2002), V1 (Martinez-Conde et al. 2000, 2002; Snodderly et al. 2001; but see Leopold and Logothetis 1998), V2 (Leopold and Logothetis 1998), V4 (Leopold and Logothetis 1998), and MT (Bair and O'Keefe 1998). Since microsaccades relocate the retinal image, these effects have been associated with a refresh of visual information and it was hypothesized that microsaccades might enhance perception of stimuli in the visual periphery (Ditchburn 1980; Gerrits and Vendrik 1974; Martinez-Conde et al. 2000, 2004). If the SRT benefits following microsaccades during target presentation are *caused* by perceptual enhancements, the question arises why they are restricted to memory-guided saccades. Interestingly, Supèr et al. (2004) also reported a dissociation of visual and memory trials with respect to V1 activity and SRT; they found a strong negative correlation between the strength of V1 activity and SRT for the memory but not for the visual trials. Obviously, a correlation between V1 activity prior to the saccade and the presence of microsaccades during the earlier target presentation in the memory trials but not visual ones would constitute strong evidence for a perceptual-enhancement interpretation restricted to the memory condition. However, given their focus on the pre-saccadic activity in V1, this analysis was not provided by Supèr et al. (2004).
2. *Motor-preparation account.* An oculomotor area that is highly relevant to the production of memory-guided saccades is the frontal eye fields (FEF). This area receives retinotopically organized input from the visual cortex (Schall et al. 1995) and contains cells that show tonic discharge activity during the delay periods in memory-saccade tasks. This activity is spatially specific for the target location and available to the target saccade (Bruce et al. 2004; Friedman et al. 1997). In our experiment, the conditions were presented in a randomized order; the memory condition was certainly the most attention-demanding one. Assume that subjects prepared for the disappearance of the target on a fraction of the time and that the occurrence of microsaccades reflects a spillover of enhanced activity in FEF, associated with the preparation of memory-guided saccades during target presentation. In this case, microsaccades are the *consequence* of task-set-related activity. A faster SRT in the memory condition than in the visual conditions

would be a consequence of the subjects' correct anticipation of a memory trial. And, indeed, in the memory condition, SRT was 16 ms shorter if a microsaccade occurred in the target time window than in the average of the visual conditions; paired *t*-test; $t(23) = 1.877$, $P = 0.037$.

3. *Attentional account.* Finally, there is also an attentional interpretation of shorter SRTs after microsaccades during target presentation in memory trials. In previous work, we linked microsaccades to shifts of covert attention (Engbert and Kliegl 2003; Laubrock et al. 2005; Rolfs et al. 2004, 2005). It could be that in those trials where a microsaccade was present this might indicate endogenous shifts of attention to the target incurring an SRT benefit. As the target disappears, there may be more incentive to hold endogenous attention at the remembered target location in the memory condition, whereas in the visual conditions attention may move back to the fixation point. The fact that the microsaccade-related SRT benefit is limited to the memory condition is also compatible with this account. This approach, for the time being, states a *pure correlation* between microsaccades during target presentation and faster SRTs.

Note that also a combination of the accounts given above might explain the SRT shortening effect. Consider, for instance, that microsaccades during target presentation caused a perceptual enhancement. As a consequence, motor-preparation signals in oculomotor areas might be enhanced. Due to a spillover effect, these might, in turn, result in microsaccades.

Prolongation of saccade latencies following microsaccades

Microsaccades share important characteristics with large-scale saccades. First, microsaccades are defined as binocular eye movements with almost identical amplitudes and directions in both eyes (Ditchburn and Ginsborg 1953; Krauskopf et al. 1960; Lord 1951), pointing at a central rather than a peripheral nervous origin. Second, both microsaccades and large-scale saccades fall on the main sequence (Zuber et al. 1965), that is, the relationship between peak velocity and amplitude in these movements follows a power law. Thus, although the neurophysiological origin of the microsaccades is still unknown, it is reasonable to assume a common neural circuitry for the generation of microsaccades and saccades (see also Engbert 2005). Beyond that, microsaccades occur involuntarily (Ditchburn and Ginsborg 1953; Ratliff and Riggs 1950), suggesting that subcortical processes might be most relevant in their production.

A multitude of voluntary and reflexive pathways exist to generate saccades, most of which converge to the SC, the key structure involved in the programming and execution of saccadic eye movements at the subcortical level

(see Munoz et al. 2000; Scudder et al. 2002; Sparks 2002, for reviews). Indeed, there are indications that microsaccades might have a neural correlate in the SC. In detail, the intermediate layers of the SC constitute a retinotopically organized motor map coding for saccades to the contralateral visual field. Here, saccade amplitudes are continuously represented, decreasing from caudal to rostral SC (Robinson 1972). Cells in the rostral pole tonically discharge during fixation and pause or decrease firing during most saccades (Munoz and Guitton 1991; Munoz and Wurtz 1993a). Consequently, they were referred to as fixation neurons (FN) (e.g., Munoz et al. 2000; Munoz and Fecteau 2002; Munoz and Istvan 1998; Munoz and Wurtz 1993a, b, 1995a, b). Saccade-related neurons (SN) are located in the more caudal parts of the SC. SN pause firing during fixations, while producing bursts of spikes prior to and during saccades directed to their response field (Munoz and Wurtz 1995a; Sparks et al. 1976; Wurtz and Goldberg 1972). Converging data from microstimulation studies (Gandhi and Keller 1999; Robinson 1972) and single cell recordings (Krauzlis et al. 1997; Munoz and Wurtz 1993a, 1995b) reveal that FN like SN still possess a movement field, that is, the activity of FN is associated with small contraversive saccades.³ It is not known whether microsaccades that occur involuntarily during fixation originate in the rostral pole of the SC as proposed by Gandhi and Keller (1999) and Munoz et al. (2000). Our findings that there is a drop in the microsaccade rate prior to saccades and an increase of SRTs in both memory and visual trials when microsaccades occurred around the time of the go signal are consistent with this explanation. As the process of saccade generation requires reciprocal activation of the FN and SN (Munoz and Istvan 1998; Munoz and Wurtz 1993b, 1995b), a decrease in the microsaccade rate would be necessary for saccade generation.

The present paper demonstrates the differential role of microsaccades in saccade generation on a behavioral basis. To clarify whether microsaccades are a cause, a correlate, or a consequence of altered saccade dynamics, it will be important to determine their neurophysiological correlates. In agreement with our knowledge about the saccadic system, we propose that the SC is a likely candidate at which attentional and perceptual benefits of microsaccades and their inhibiting impact on the generation of large saccades converge.

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³We want to emphasize that the continuum of movement fields along the rostral-caudal dimension extends to saccade amplitudes well below 1° as observed in the cited studies. Thus, microsaccades fall in the range of amplitudes represented in the very rostral pole of the SC.

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